

**RECEIVED
CENTRAL FAX CENTER**

Nixon Peabody LLP
Attorneys at Law

FEB 05 2007

401 9th Street N.W.
Suite 900
Washington, DC 20004-2128
(202) 585-8000

Fax: (202) 585-8080

PRIVILEGE AND CONFIDENTIALITY NOTICE

The information in this fax is intended for the named recipients only. It contains privileged and confidential matter. If you have received this fax in error, please notify us immediately by a collect telephone call to (202) 585-8000 and return the original to the sender by mail. We will reimburse you for postage. Do not disclose the contents to anyone. Thank you.

FAX

To:	Company:	Fax #:	Telephone #:
1) USPTO	UNITED STATES PATENT AND TRADEMARK OFFICE	571-273-8300	
2)			
3)			
4)			
5)			

INTERNATIONAL PHONE NUMBERS MUST INCLUDE COUNTRY & CITY CODE. SEE LOCAL WHITE PAGES FOR CODES NEEDED.

From: Jeff E. Schwartz	Date: February 5, 2007	No. of Pages: 2 (including this page)	
Comments: Attached is: STATUS INQUIRY			
<p>BEST AVAILABLE COPY</p>			

Original of the transmitted document will be sent by:

☐ First Class Mail
 ☐ Overnight Mail
 ☐ Hand Delivery
 ☐ This transmission will be the only form of delivery of this document

IF YOU DO NOT RECEIVE ALL OF THESE PAGES, PLEASE CONTACT THE FAX ADMINISTRATOR AS SOON AS POSSIBLE AT: (202) 585-8000. THANK YOU.

CONFIRMATION: DATE SENT _____ TIME _____ BY _____

INTEROFFICE TO:
 ☐ Albany
 ☐ Boston
 ☐ Buffalo
 ☐ Hartford
 ☐ Long Island
 ☐ Los Angeles

 ☐ Manchester
 ☐ New York City
 ☐ Northern Virginia
 ☐ Palm Beach Gardens
 ☐ Philadelphia
 ☐ Providence

 ☐ Rochester
 ☐ San Francisco
 ☐ Washington

To:	Company:	Fax #:	Telephone #:
1) USPTO	UNITED STATES PATENT AND TRADEMARK OFFICE	571-273-8300	
2)			

INTERNATIONAL PHONE NUMBERS MUST INCLUDE COUNTRY & CITY CODE. SEE LOCAL WHITE PAGES FOR CODES NEEDED.

From: Jeff E. Schwartz	Date: February 5, 2007	No. of Pages: 2 (including this page)	Client/Matter: 044129/002000
User #: 1671	Ext: 8379	Disbursement Amount: \$	

**RECEIVED
CENTRAL FAX CENTER****FEB 05 2007**

044129-002000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)
Robert Maerz et al.) Group Art Unit: 3693
Application No. 10/619,510) Examiner: J. Alpert
Filed: July 16, 2003) Confirmation No. 7515
For: METHOD AND SYSTEM FOR) Date: February 5, 2007
OFFERING...

Certificate of Transmission

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office (Fax No. 571)273-8300) on February 5, 2007

Name: Yvette Y. Jones

STATUS INQUIRY

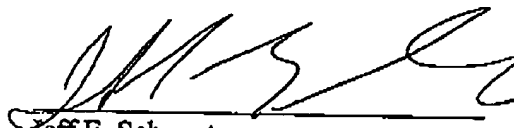
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

BEST AVAILABLE COPY

Sir:

Please advise, in writing, as to the current status of the above-identified application.

Respectfully submitted,



Jeff E. Schwartz
Registration No. 39,019

NIXON PEABODY LLP
Suite 900
401 9th Street, N.W.
Washington, DC 20004-2128
(202) 585-8000
Dated: February __, 2007

10281554.1



United States Patent and Trademark Office

RECEIVED
CENTRAL FAX CENTER

FEB 05 2007

[Home](#) | [Site Index](#) | [Search](#) | [FAQ](#) | [Glossary](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz Alerts](#) | [News](#) | [Help](#)[Portal Home](#) | [Patents](#) | [Trademarks](#) | [Other](#) | [Sign-Off Authenticated Session](#)

Patent eBusiness



Secured Patent Application Information Retrieval

- ☐ [Electronic Filing](#)
- ☐ [Patent Application Information \(PAIR\)](#)
- ☐ [Patent Ownership](#)
- ☐ [Fees](#)
- ☐ [Supplemental Resources & Support](#)

Patent Information

- [Patent Guidance and General Info](#)
- ☐ [Codes, Rules & Manuals](#)
- ☐ [Employee & Office Directories](#)
- ☐ [Resources & Public Notices](#)

Patent Searches

- [Patent Official Gazette](#)
- ☐ [Search Patents & Applications](#)
- ☐ [Search Biological Sequences](#)
- ☐ [Copies, Products & Services](#)

Other

- [Copyrights](#)
- [Trademarks](#)
- [Policy & Law](#)
- [Reports](#)

XML Download**i** Order Certified Application As Filed Order

10/619,510

Method and system for offering securities base

Select New Case	Application Data	Transaction History	Image File Wrapper	Continuity Data	Published Documents	A
---------------------------------	----------------------------------	-------------------------------------	------------------------------------	---------------------------------	-------------------------------------	-------------------

Bibliographic Data

Application Number:	10/619,510	Customer N
Filing or 371 (c) Date:	07-16-2003	Status:
Application Type:	Utility	Status Date
Examiner Name:	ALPERT, JAMES M	Location:
Group Art Unit:	3693	Location D
Confirmation Number:	7515	Earliest Put
Attorney Docket Number:	48836-00002 Update	Earliest Put Date:
Class / Subclass:	705/036	Patent Nur
First Named Inventor:	Robert Maerz , Stone Harbor, NJ	Issue Date

Title of Invention: Method and system for offering secu

If you need help:

- Call the Patent Electronic Business Center at (866) 217-9197 (toll fr questions about Patent Application Information Retrieval (PAIR).
- Send general questions about USPTO programs to the USPTO Conta
- If you experience technical difficulties or problems with this applicat Business Support or call 1 800-786-9199.

You can suggest USPTO webpages or material you would like featured on this section by E-mail to the webmaster@uspto.gov
your suggestions will be considered and may lead to other improvements on the

[Home](#) | [Site Index](#) | [Search](#) | [eBusiness](#) | [Help](#) | [Privacy Policy](#)

BEST AVAILABLE COPY